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PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

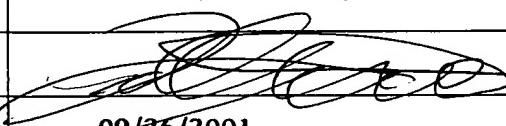
(to be used for all correspondence after initial filing)

|  |                      |                        |     |
|--|----------------------|------------------------|-----|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 09/866,530             |     |
|  | Filing Date          | 05/25/2001             |     |
|  | First Named Inventor | Angelo Tortola         |     |
|  | Group Art Unit       |                        |     |
|  | Examiner Name        |                        |     |
| Total Number of Pages in This Submission   | 7                    | Attorney Docket Number | WKY |

### ENCLOSURES (check all that apply)

|   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks   |  |   |
| <b>X Executed Declaration</b>   |  |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |  |  |
|-------------------------|---|--|--|
| Firm or Individual name | Joseph Stecewycz  |  |  |
| Signature               |  |  |  |
| Date                    | 09/26/2001  |  |  |

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

|                       |   |      |            |
|-----------------------|---|------|------------|
| Typed or printed name | Joseph Stecewycz  |      |            |
| Signature             |  | Date | 09/26/2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 420.)

## Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   | 09/866,530     |
| Filing Date          | 05/25/2001     |
| First Named Inventor | Angelo Tortola |
| Examiner Name        |                |
| Group Art Unit       |                |
| Attorney Docket No.  | WKY            |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |  |
|------------------------|--|
| Deposit Account Number |  |
| Deposit Account Name   |  |

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description               | Fee Paid |
|----------------------------|----------------------------|-------------------------------|----------|
| 101                        | 710                        | 201 355 Utility filing fee    | 355      |
| 106                        | 320                        | 206 160 Design filing fee     |          |
| 107                        | 490                        | 207 245 Plant filing fee      |          |
| 108                        | 710                        | 208 355 Reissue filing fee    |          |
| 114                        | 150                        | 214 75 Provisional filing fee |          |

SUBTOTAL (1) (\$ 355)

## 2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
|              |                    |                    | -20** =      | X              | =        |
|              |                    |                    | -3** =       | X              | =        |
|              |                    |                    |              |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103                        | 18                         | 203 9 Claims in excess of 20                                     |
| 102                        | 80                         | 202 40 Independent claims in excess of 3                         |
| 104                        | 270                        | 204 135 Multiple dependent claim, if not paid                    |
| 109                        | 80                         | 209 40 ** Reissue independent claims over original patent        |
| 110                        | 18                         | 210 9 ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ )

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Fee Code (\$)             | Large Entity Fee | Small Entity Fee  | Fee Description | Fee Paid |
|---------------------------|------------------|---|-----------------|----------|
| 105                       | 130              | 205 65 Surcharge - late filing fee or oath  | 65              |          |
| 127                       | 50               | 227 25 Surcharge - late provisional filing fee or cover sheet                     |                 |          |
| 139                       | 130              | 139 130 Non-English specification   |                 |          |
| 147                       | 2,520            | 147 2,520 For filing a request for ex parte reexamination                         |                 |          |
| 112                       | 920*             | 112 920* Requesting publication of SIR prior to Examiner action                   |                 |          |
| 113                       | 1,840*           | 113 1,840* Requesting publication of SIR after Examiner action                    |                 |          |
| 115                       | 110              | 215 55 Extension for reply within first month                                     |                 |          |
| 116                       | 390              | 216 195 Extension for reply within second month                                   |                 |          |
| 117                       | 890              | 217 445 Extension for reply within third month                                    |                 |          |
| 118                       | 1,390            | 218 695 Extension for reply within fourth month                                   |                 |          |
| 128                       | 1,890            | 228 945 Extension for reply within fifth month                                    |                 |          |
| 119                       | 310              | 219 155 Notice of Appeal  |                 |          |
| 120                       | 310              | 220 155 Filing a brief in support of an appeal                                    |                 |          |
| 121                       | 270              | 221 135 Request for oral hearing  |                 |          |
| 138                       | 1,510            | 138 1,510 Petition to institute a public use proceeding                           |                 |          |
| 140                       | 110              | 240 55 Petition to revive - unavoidable   |                 |          |
| 141                       | 1,240            | 241 620 Petition to revive - unintentional  |                 |          |
| 142                       | 1,240            | 242 620 Utility issue fee (or reissue)  |                 |          |
| 143                       | 440              | 243 220 Design issue fee  |                 |          |
| 144                       | 600              | 244 300 Plant issue fee   |                 |          |
| 122                       | 130              | 122 130 Petitions to the Commissioner   |                 |          |
| 123                       | 50               | 123 50 Processing fee under 37 CFR 1.17(q)  |                 |          |
| 126                       | 180              | 126 180 Submission of Information Disclosure Stmt                                 |                 |          |
| 581                       | 40               | 581 40 Recording each patent assignment per property (times number of properties) |                 |          |
| 146                       | 710              | 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |                 |          |
| 149                       | 710              | 249 355 For each additional invention to be examined (37 CFR § 1.129(b))          |                 |          |
| 179                       | 710              | 279 355 Request for Continued Examination (RCE)                                   |                 |          |
| 169                       | 900              | 169 900 Request for expedited examination of a design application                 |                 |          |
| Other fee (specify) _____ |                  |   |                 |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65)

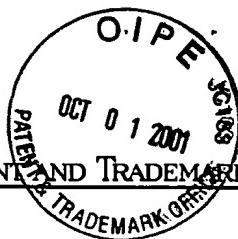
|                   |                  |                                      |        |                        |
|-------------------|------------------|--------------------------------------|--------|------------------------|
| SUBMITTED BY      |                  | Complete if applicable               |        |                        |
| Name (Print/Type) | Joseph Stecewycz | Registration No.<br>(Attorney/Agent) | 34,442 | Telephone 978.448-9095 |
| Signature         |                  |                                      |        |                        |
| Date              | 09/26/2001       |                                      |        |                        |

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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/866,530         | 05/25/2001          | Angelo Tortola        | WKY                    |

**CONFIRMATION NO. 4230**  
**FORMALITIES LETTER**



\*OC00000006349176\*

Joseph Stecewycz  
P.O. Box 1309  
Groton, MA 01450

Date Mailed: 07/26/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/03/2001 AMOKA001 00000053 09866530

01 FD-201  
02 FD-299

370.00 0P  
50.00 0P

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE